

# Newton-Okounkov polytopes of flag varieties from cluster algebras

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- 1 Newton-Okounkov bodies and cluster algebras
- 2  $g$ -vectors as higher rank valuations
- 3 Case of flag varieties

# Toric degenerations

Let  $Z$  be an irreducible normal projective variety over  $\mathbb{C}$ , and  $\mathcal{L}$  an ample line bundle on  $Z$ .

## Definition

A **toric degeneration** of  $(Z, \mathcal{L})$  is a flat morphism

$$\pi: \mathfrak{X} = \text{Proj}(R) \rightarrow \mathbb{C}$$

such that  $(\pi^{-1}(t), \mathcal{O}_{\mathfrak{X}}(1)|_{\pi^{-1}(t)}) \simeq (Z, \mathcal{L})$  for all  $t \in \mathbb{C}^\times$ , and  $\pi^{-1}(0)$  is an irreducible normal projective toric variety.

## Aim

to understand relations between the following two constructions of toric degenerations:

- Newton-Okounkov bodies (Anderson 2013),
- cluster algebras (Gross-Hacking-Keel-Kontsevich 2018).

# Newton-Okounkov bodies

- $Z$ : an irreducible normal projective variety over  $\mathbb{C}$  ( $m := \dim_{\mathbb{C}}(Z)$ ),
- $\mathcal{L}$ : an ample line bundle on  $Z$ ,
- $\tau \in H^0(Z, \mathcal{L})$ : a nonzero section.

Assume that  $Z$  is rational, and fix an identification

$$\mathbb{C}(Z) \simeq \mathbb{C}(t_1, \dots, t_m).$$

We take a total order  $<$  on  $\mathbb{Z}^m$ , respecting the addition, which induces a total order  $<$  on the set of Laurent monomials in  $t_1, \dots, t_m$ . Then, the **lowest term valuation**  $v: \mathbb{C}(Z) \setminus \{0\} \rightarrow \mathbb{Z}^m$  is defined as follows:

$$v(f/g) := v(f) - v(g), \text{ and}$$

$$v(f) := (a_1, \dots, a_m) \Leftrightarrow f = ct_1^{a_1} \cdots t_m^{a_m} + (\text{higher terms w.r.t. } <)$$

for  $f, g \in \mathbb{C}[t_1, \dots, t_m] \setminus \{0\}$ , where  $c \in \mathbb{C}^\times$ .

# Newton-Okounkov bodies

We define a semigroup  $S(Z, \mathcal{L}, v, \tau) \subset \mathbb{Z}_{>0} \times \mathbb{Z}^m$ , a real closed convex cone  $C(Z, \mathcal{L}, v, \tau) \subset \mathbb{R}_{\geq 0} \times \mathbb{R}^m$  and a convex set  $\Delta(Z, \mathcal{L}, v, \tau) \subset \mathbb{R}^m$  by

$$S(Z, \mathcal{L}, v, \tau) := \{(k, v(\sigma/\tau^k)) \mid k \in \mathbb{Z}_{>0}, \sigma \in H^0(Z, \mathcal{L}^{\otimes k}) \setminus \{0\}\},$$

$$C(Z, \mathcal{L}, v, \tau): \text{the smallest real closed cone containing } S(Z, \mathcal{L}, v, \tau),$$

$$\Delta(Z, \mathcal{L}, v, \tau) := \{\mathbf{a} \in \mathbb{R}^m \mid (1, \mathbf{a}) \in C(Z, \mathcal{L}, v, \tau)\}.$$

**Definition (Lazarsfeld-Mustata 2009, Kaveh-Khovanskii 2012)**

The convex set  $\Delta(Z, \mathcal{L}, v, \tau)$  is called a **Newton-Okounkov body** of  $Z$ .

**Theorem (Anderson 2013)**

If the semigroup  $S(Z, \mathcal{L}, v, \tau)$  is finitely generated and saturated, then there exists a toric degeneration of  $(Z, \mathcal{L})$  to the normal projective toric variety corresponding to  $\Delta(Z, \mathcal{L}, v, \tau)$ .

# Newton-Okounkov bodies of flag varieties

- $G$ : a connected, simply-connected semisimple algebraic group over  $\mathbb{C}$ ,
- $B \subset G$ : a Borel subgroup,
- $P_+$ : the set of dominant integral weights.

## Definition

The quotient variety  $G/B$  is called the **full flag variety**.

## Proposition

There exists a natural bijective map

$$P_+ \xrightarrow{\sim} \{\text{line bundles on } G/B \text{ generated by global sections}\},$$

$$\lambda \mapsto \mathcal{L}_\lambda.$$

# Newton-Okounkov bodies of flag varieties

The Newton-Okounkov bodies of  $(G/B, \mathcal{L}_\lambda)$  realize the following representation-theoretic polytopes:

- Berenstein-Littelmann-Zelevinsky's string polytopes (Kaveh 2015),
- Nakashima-Zelevinsky polytopes (F.-Naito 2017),
- Feigin-Fourier-Littelmann-Vinberg polytopes (Feigin-Fourier-Littelmann 2017, Kiritchenko 2017).

## Question

How are these polytopes related?

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# Cluster varieties

Let

$$\mathcal{A} = \bigcup_t \mathcal{A}_t = \bigcup_t \operatorname{Spec}(\mathbb{C}[\underbrace{x_1(t)^{\pm 1}, \dots, x_n(t)^{\pm 1}}_{\text{unfrozen}}, \underbrace{x_{n+1}(t)^{\pm 1}, \dots, x_m(t)^{\pm 1}}_{\text{frozen}}])$$

be a cluster variety (Fock-Goncharov 2009), where  $t$  runs over the set of seeds which are mutually mutation equivalent, and the tori are glued via the following birational cluster mutations:

$$\mu_k^*(x_i(t')) = \begin{cases} x_i(t) & (i \neq k), \\ x_k(t)^{-1} \left( \prod_{b_{k,j}^{(t)} > 0} x_j(t)^{b_{k,j}^{(t)}} + \prod_{b_{k,j}^{(t)} < 0} x_j(t)^{-b_{k,j}^{(t)}} \right) & (i = k) \end{cases}$$

if  $t' = \mu_k(t)$ , where  $B(t) = (b_{k,j}^{(t)})_{k,j}$  is the exchange matrix of  $t$ .

**Definition (Berenstein-Fomin-Zelevinsky 2005)**

The ring  $\mathbb{C}[\mathcal{A}]$  of regular functions is called an **upper cluster algebra**.

# Cluster varieties

Denoting the dual torus of  $\mathcal{A}_t$  by  $\mathcal{A}_t^\vee$ , we have

$$\mathcal{A} = \bigcup_t \mathcal{A}_t \quad \xleftarrow{\text{“mirror”}} \quad \mathcal{A}^\vee = \bigcup_t \mathcal{A}_t^\vee.$$

The space  $\mathcal{A}^\vee$  is called the **Fock-Goncharov dual**, and defined to be the Langlands dual of the  $\mathcal{X}$ -cluster variety. Since the gluing maps of  $\mathcal{A}^\vee$  are given by subtraction-free rational functions, we obtain the set  $\mathcal{A}^\vee(\mathbb{R}^T)$  of  $\mathbb{R}^T$ -valued points, where  $\mathbb{R}^T$  is a semifield  $(\mathbb{R}, \max, +)$ . More precisely,  $\mathcal{A}^\vee(\mathbb{R}^T)$  is defined by gluing  $\mathcal{A}_t^\vee(\mathbb{R}^T) = \mathbb{R}^m$  via the following tropicalized cluster mutation:

$$\mu_k^T: \mathcal{A}_t^\vee(\mathbb{R}^T) \rightarrow \mathcal{A}_{t'}^\vee(\mathbb{R}^T), \quad (g_1, \dots, g_m) \mapsto (g'_1, \dots, g'_m),$$

where

$$g'_i = \begin{cases} g_i + \max\{b_{k,i}^{(t)}, 0\}g_k - b_{k,i}^{(t)} \min\{g_k, 0\} & (i \neq k), \\ -g_k & (i = k). \end{cases}$$

# Gross-Hacking-Keel-Kontsevich's toric degenerations

For each  $t$ , we have

$$\mathcal{A}^\vee(\mathbb{R}^T) \simeq \mathcal{A}_t^\vee(\mathbb{R}^T) = \mathbb{R}^m.$$

We fix  $\Xi \subset \mathcal{A}^\vee(\mathbb{R}^T)$  such that its image in  $\mathcal{A}_t^\vee(\mathbb{R}^T) = \mathbb{R}^m$ , denoted by  $\Xi_t$ , is an  $m$ -dimensional rational convex polytope for each  $t$ .

## Theorem (Gross-Hacking-Keel-Kontsevich 2018)

*Under some conditions on  $\mathcal{A}$  and  $\Xi$ , there exists a  $\mathbb{Z}_{\geq 0}$ -graded ring  $R_t$  for each  $t$  together with a flat morphism  $\pi_t: \text{Proj}(R_t) \rightarrow \mathbb{C}^m$  such that*

- $\pi_t^{-1}(z)$  for  $z \in (\mathbb{C}^\times)^m$  is a normal projective variety which compactifies  $\mathcal{A}$ ,
- $\pi_t^{-1}(0)$  is the normal projective toric variety corresponding to  $\Xi_t \subset \mathbb{R}^m$ .

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## extended g-vectors

Theorem (Fomin-Zelevinsky 2007, Derksen-Weyman-Zelevinsky 2010, Gross-Hacking-Keel-Kontsevich 2018)

For all  $t, t'$  and  $1 \leq i \leq m$ ,

$$x_i(t') \in x_1(t)^{g_1} \cdots x_m(t)^{g_m} \left( 1 + \sum_{0 \neq (a_1, \dots, a_n) \in \mathbb{Z}_{\geq 0}^n} \mathbb{Z} \hat{y}_1^{a_1} \cdots \hat{y}_n^{a_n} \right)$$

for some  $g_t(x_i(t')) := (g_1, \dots, g_m) \in \mathbb{Z}^m$ , where

$$\hat{y}_i := x_1(t)^{b_{i,1}^{(t)}} \cdots x_m(t)^{b_{i,m}^{(t)}}.$$

The vector  $g_t(x_i(t')) \in \mathbb{Z}^m$  is called the **extended g-vector** of  $x_i(t')$ .

## g-vectors as higher rank valuations

## Definition (Qin 2017)

For each  $t$ , define a partial order  $\leq_t$  on  $\mathbb{Z}^m$  by

$$g' \leq_t g \Leftrightarrow g - g' \in \sum_{1 \leq i \leq n} \mathbb{Z}_{\geq 0}(b_{i,1}^{(t)}, \dots, b_{i,m}^{(t)}).$$

This  $\leq_t$  is called the **dominance order** associated with  $t$ .

Since  $\mathcal{A}_t$  is an open subvariety of  $\mathcal{A}$ , we have

$$\mathbb{C}(\mathcal{A}) = \mathbb{C}(\mathcal{A}_t) = \mathbb{C}(x_1(t), \dots, x_m(t)).$$

We fix a total order  $\leq_t$  on  $\mathbb{Z}^m$  which refines the dominance order  $\leq_t$ .

## Definition

For each  $t$ , define a valuation  $v_t: \mathbb{C}(\mathcal{A}) \setminus \{0\} \rightarrow \mathbb{Z}^m$  to be the lowest term valuation associated with  $\leq_t$ .

# g-vectors as higher rank valuations

## Proposition

For all  $t, t'$  and  $1 \leq i \leq m$ , the equality  $v_t(x_i(t')) = g_t(x_i(t'))$  holds.

## Proposition

The valuation  $v_t$  generalizes the extended  $g$ -vectors of the theta function basis.

## Corollary

Under Gross-Hacking-Keel-Kontsevich's toric degeneration, the polytope  $\Xi_t$  is identical to the Newton-Okounkov body of  $\pi_t^{-1}(z)$  associated with  $v_t$ .

Let  $Z$  be an irreducible normal projective variety which is birational to  $\mathcal{A}$ .

## Problem

Compute Newton-Okounkov bodies of  $Z$  associated with  $v_t$ .

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# Cluster structures

Let  $U^-$  be the unipotent radical of the opposite Borel subgroup  $B^-$ , and

$$G/B = \bigsqcup_{w \in W} BwB/B$$

the Bruhat decomposition of  $G/B$ , where  $W$  is the Weyl group.

## Definition

For  $w \in W$ , the **unipotent cell**  $U_w^- \subset G$  is defined by

$$U_w^- := BwB \cap U^-.$$

## Theorem (Berenstein-Fomin-Zelevinsky 2005)

The coordinate ring  $\mathbb{C}[U_w^-]$  admits an upper cluster algebra structure.

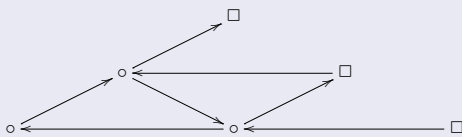
There exists  $w_0 \in W$ , called the **longest element**, such that the natural projection  $G \twoheadrightarrow G/B$  induces an open embedding  $U_{w_0}^- \hookrightarrow G/B$ .

# Relation with string polytopes

Each reduced word  $\mathbf{i} = (i_1, \dots, i_m)$  for  $w_0$  induces a seed  $t_{\mathbf{i}}$  for  $U_{w_0}^-$ .

## Example

Let  $G = SL_4(\mathbb{C})$ , and  $\mathbf{i} = (1, 2, 1, 3, 2, 1)$ . Then, the exchange matrix  $B(t_{\mathbf{i}}) = (b_{i,j}^{(t_{\mathbf{i}})})_{i,j}$  corresponds to the following quiver:



For instance,  $b_{i,j}^{(t_{\mathbf{i}})} = 1$  and  $b_{j,i}^{(t_{\mathbf{i}})} = -1$  (if defined and) if there exists  $i \rightarrow j$ .

## Theorem (F.-Oya)

The Newton-Okounkov body  $\Delta(G/B, \mathcal{L}_\lambda, v_{t_{\mathbf{i}}}, \tau)$  is unimodularly equivalent to the string polytope  $\Delta_{\mathbf{i}}(\lambda)$  associated with  $\mathbf{i}$  and  $\lambda$ .

# Relation with Nakashima-Zelevinsky polytopes

Berenstein-Fomin-Zelevinsky (1996) and Berenstein-Zelevinsky (1997) introduced the **twist automorphism**  $\eta_w: U_w^- \xrightarrow{\sim} U_w^-$  to solve a factorization problem, called the Chamber Ansatz. It is defined by

$$\eta_w(u) := [u^T \bar{w}]_-,$$

where  $u^T$  is the transpose of  $u$ , and  $\bar{w} \in G$  is a lift for  $w$ . In addition,  $[u^T \bar{w}]_-$  is the  $U^-$ -part of  $u^T \bar{w}$  under the Gaussian decomposition. Then, there exists a sequence  $\vec{\mu} = \mu_{k_1} \mu_{k_2} \cdots \mu_{k_l}$  of mutations which corresponds to  $\eta_w$  in some sense (Geiss-Leclerc-Schröer 2011, 2012, ...). We define a seed  $\hat{t}_{\mathbf{i}}$  by

$$\hat{t}_{\mathbf{i}} := \vec{\mu}^{-1}(t_{\mathbf{i}}).$$

## Theorem (F.-Oya)

The Newton-Okounkov body  $\Delta(G/B, \mathcal{L}_\lambda, v_{\hat{t}_{\mathbf{i}}}, \tau)$  is unimodularly equivalent to the Nakashima-Zelevinsky polytope  $\tilde{\Delta}_{\mathbf{i}}(\lambda)$  associated with  $\mathbf{i}$  and  $\lambda$ .

## Simply-laced case

In simply-laced case, using the monoidal categorification of the cluster algebra by Kang-Kashiwara-Kim-Oh (2018), we obtain the following.

### Theorem (F.-Oya)

If  $G$  is of simply-laced, then the following hold.

- (1) For each  $t$ , the Newton-Okounkov body  $\Delta(G/B, \mathcal{L}_\lambda, v_t, \tau)$  is a rational convex polytope which gives a toric degeneration of  $G/B$ .
- (2) If  $t' = \mu_k(t)$ , then the following equality holds:

$$\Delta(G/B, \mathcal{L}_\lambda, v_{t'}, \tau) = \mu_k^T(\Delta(G/B, \mathcal{L}_\lambda, v_t, \tau)).$$

### Corollary

If  $G$  is of simply-laced, then the string polytopes  $\Delta_i(\lambda)$  and the Nakashima-Zelevinsky polytopes  $\tilde{\Delta}_i(\lambda)$  are all related by tropicalized cluster mutations.