#### Algebraic PL-Invariants and Cluster Algebras

#### Alastair Darby

#### The 43rd Symposium on Transformation Groups

Fudan University

Joint work with Zhi Lü

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### **PL-Manifolds**

Suppose that  $K_1$  and  $K_2$  are simplicial complexes.

A *PL-map*  $\varphi \colon K_1 \to K_2$  is a simplicial map from a subdivision of  $K_1$  to a subdivision of  $K_2$ . So  $K_1$  and  $K_2$  are *PL-homeomorphic* iff there exists a simplicial complex isomorphic to a subdivision of the both of them.

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A *PL-sphere* is a triangulated sphere which is PL-homeomorphic to the boundary of a simplex.

- In dim  $\leq$  3, any triangulated sphere is PL.
- In dim = 4, the question is open.
- In dim  $\geq$  5, there exist non–PL-sphere triangulations.

### **PL-Manifolds**

All manifolds are assumed to be connected, closed and oriented.

Let  $\alpha \in K$ . Then  $\mathsf{lk}_{K}\alpha = \{ \alpha' \in K \mid \alpha \cup \alpha' \in K, \ \alpha \cap \alpha' = \emptyset \}.$ 

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#### Definition

A *PL-manifold* of dim *n* is a simplicial complex *K* of dim *n* such that  $|k_K \alpha|$  is a PL-sphere of dim  $n - |\alpha|$ , for all non-empty  $\alpha \in K$ .

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- In dim  $\leq$  3, Smooth = PL = Top
- In dim = 4, Smooth =  $PL \subset Top$
- In dim  $\geq$  4, Smooth  $\subset$  PL  $\subset$  Top

# **PL-Spheres**

A PL-sphere is not the same as a *PL-manifold homeomorphic to a sphere* but to the 'standard' sphere, i.e. the PL-structure is given by the boundary of a simplex. These notions coincide in dimensions other than 4.

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#### Problem

Is a PL-structure on  $S^4$  unique?

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#### **Bistellar Moves**

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$$\mathsf{bm}_{\alpha}\mathsf{K} = (\mathsf{K} \smallsetminus (\alpha \ast \partial\beta)) \cup (\partial\alpha \ast \beta)$$

is called a *bistellar j-move* on the *bistellar pair*  $(\alpha, \beta)$ .

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is called a *bistellar j-move* on the *bistellar pair*  $(\alpha, \beta)$ . A 0-move adds a vertex and an *n*-move deletes one. All other moves don't change the number of vertices. Let  $f = (f_0, f_1, \dots, f_n)$  be the *f*-vector of *K*. Then

#### Lemma

$$f(bm_{\alpha}K) = f(K) \Leftrightarrow n = 2j.$$

#### **Bistellar Moves**

Two simplicial complexes are *bistellarly equivalent* if one can be transformed into another by a finite sequence of bistellar moves.

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Two simplicial complexes are *bistellarly equivalent* if one can be transformed into another by a finite sequence of bistellar moves.

Theorem (Pachner '87)

Two PL-manifolds are bistellarly equivalent if and only if they are PL-homeomorphic.

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### **Cluster** Algebras

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- a distinguished family of generators X called *cluster variables*;
- such that X is grouped into overlapping subsets called *clusters* that all have cardinality *n*.

$$\mathbf{x}_1 = \{x_1^1, \dots, x_n^1\}, \quad \mathbf{x}_2 = \{x_1^2, \dots, x_n^2\}, \dots$$

 $X = \bigcup \mathbf{x}_i$  non-disjoint union

# Exchange Property

The clusters have the following exchange property:

For every cluster  ${\bf x}$  and  $x\in {\bf x},$  there exists another cluster  ${\bf x}'$  and  $x'\in {\bf x}'$  such that

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where x and x' are related by the *exchange relation* 

$$xx' = M + M',$$

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Furthermore, any two clusters can be obtained from each other by a sequence of exchanges.

#### Rank 1

Let  $\mathcal{A} = \mathbb{C}[SL_2] = \mathbb{C}[a, b, c, d]/(ad - bc - 1)$  be the coordiante ring, where we write an element of  $SL_2$  as

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which we can write as the ring  $\mathbb{C}[b, c][a, a^{-1}]$  of Laurent polynomials.

### Rank 2

Cluster algebras of rank 2,  $\mathcal{A}(b, c)$ , depend only two positive integers  $b, c \in \mathbb{Z}_{>0}$ .

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The cluster variables are elements  $x_m$ ,  $m \in \mathbb{Z}$ , defined recursively by the exchange relations:

$$x_{m-1}x_{m+1} = egin{cases} x_m^b+1, & ext{if } m ext{ is odd;} \ x_m^c+1, & ext{if } m ext{ is even.} \end{cases}$$

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Each  $x_m$  is then a rational function of  $x_1$  and  $x_2$ .

### Rank 2

So  $\mathcal{A}(b,c)$  is the subring generated by  $x_m$ ,  $m \in \mathbb{Z}$ , inside the *field* of rational functions

$$\mathbb{Q}(x_1, x_2) := \{ rac{f(x_1, x_2)}{g(x_1. x_2)} \mid f, g ext{ are polynomials such that } g 
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Starting with  $\{x_1, x_2\}$  we can reach any other cluster by a series of exchanges

$$\cdots \longleftrightarrow \{x_0, x_1\} \longleftrightarrow \{x_1, x_2\} \longleftrightarrow \{x_2, x_3\} \longleftrightarrow \cdots$$

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#### Rank n

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where M, M' are disjoint monomials in the variables  $\mathbf{x} \cap \mathbf{x}'$ .

### Rank n

The exponents in M and M' are encoded in an  $(n \times n)$ -integer matrix  $B = (b_{ij})$  (usually skew-symmetric) called the *exchange* matrix.

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where  $[b]_{+} = \max\{b, 0\}.$ 

A pair  $(\mathbf{x}, B)$  is called a *seed*, which can be realised as a *quiver*.

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#### Rank n

#### For each index k we can extend $\mathbf{x} \mapsto \mathbf{x}'$ to

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called a seed mutation in direction k, where we use the matrix mutation  $\mu_k \colon B \to B' = (b'_{ij})$  given by

$$b'_{ij} = egin{cases} -b_{ij}, & i = k ext{ or } j = k; \ b_{ij} + [b_{ik}]_+ [b_{kj}]_+ - [-b_{ik}]_+ [-b_{kj}]_+, & ext{ otherwise.} \end{cases}$$

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Note that  $\mu_k(\mu_k(B)) = B$ .

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The cluster algebra is then defined as the subring of  $\mathbb{Q}(x_1, \ldots, x_n)$  generated by all cluster variables,

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A cluster algebra is said to be of *finite type* if it has only a finite number of seeds.

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A cluster algebra is said to be of *finite type* if it has only a finite number of seeds.

#### Theorem (Fomin, Zelevinsky 2003)

*Cluster algebras of finite type can be classified in terms of the Dynkin diagrams of finite-dimensional simple Lie algebras.* 

#### The Laurent Phenomenon

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- One of the main consequences of the definition of matrix mutations.
- Every cluster variable (a rational function in the elements of a given cluster) is a Laurent polynomial with integer coefficients.
- Surprising since every cluster variable appears as the denominator of the expression used for producing a new one.
- Conjecture: All coefficients in these Laurent polynomials are positive.

## **Triangulated Surfaces**

Suppose we have a pair (S, M) where S is a closed oriented connected surface and M is a set of marked points on S with |M| = m. We want to consider all triangulations K of S with m vertices as the marked points.

# **Triangulated Surfaces**

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Consider the category of PL-surfaces K with a fixed number of vertices.

#### Then

 $K \cong K' \iff$  related by a finite sequence of bistellar 1-moves.

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Given (S, M) we can form a cluster algebra  $\mathcal{A}(S, M)$ .

# **Triangulated Surfaces**

The set of cluster variables X is the set of potential edges of K. Every triangulation K will have

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edges, where g is the genus of S. These will form our clusters.

For every triangulation K we form the  $(n \times n)$ -exchange matrix B as follows:

$$B(K) = B = \sum_{\Delta \in S} B^{\Delta},$$

where  $\Delta$  is a 2-simplex of *S*.

## **Triangulated Surfaces**

Using the following matrix mutation  $B' = \mu_k(B)$  in direction k:

$$b'_{ij} = egin{cases} -b_{ij}, & ext{if } i = k ext{ of } j = k; \ b_{ij} + [b_{ik}]_+ [b_{kj}]_+ - [-b_{ik}]_+ [-b_{kj}]_+, & ext{otherwise}, \end{cases}$$

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we obtain the following theorem:

#### Theorem (Fomin, Shapiro, Thurston '08)

Suppose K' is obtained from K by a 1-move on the edge labelled k. Then  $\mu_k(B(K)) = B(K')$ . Therefore,  $\mathcal{A}(K) \cong \mathcal{A}(K')$  as cluster algebras of rank 6g + 3m - 6.

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So to compare two triangulations with a different number of vertices we can embed their cluster algebras into ones of higher rank.

# Pair Ordering

We now generalise the exchange matrix B to higher-dimensional triangulated manifolds. Consider a simplicial complex K on the vertex set [m].

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We now generalise the exchange matrix B to higher-dimensional triangulated manifolds. Consider a simplicial complex K on the vertex set [m]. Using the ordering  $1 < \cdots < m$  on the vertices we impose the *lexographical ordering* < on  $2^{[m]}$ , e.g. when m = 3 we have

$$\emptyset < \{1\} < \{2\} < \{3\} < \{1,2\} < \{1,3\} < \{2,3\} < \{1,2,3\}.$$

Let  $\alpha = (a_0, a_1, \dots, a_n)$  be an oriented *n*-simplex. Then the standard boundary operator is defined as

$$\partial(a_0,a_1,\ldots,a_n)=\sum_{j=0}^n(-1)^{j+1}(a_0,\ldots,\hat{a}_j,\ldots,a_n).$$

## Pair Ordering

We generalise this as follows: for any  $0 \le k \le n$  define

$$\partial^{(k)}(a_0,a_1,\ldots,a_n)=\sum_{1\leq j_1<\cdots< j_k\leq n}(-1)^{j_1+\cdots+j_k+1}(\ldots,\hat{a_{j_1}},\ldots,\hat{a_{j_k}},\ldots).$$

Obviously, we have  $\partial^{(1)} = \partial$ .

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Obviously, we have  $\partial^{(1)} = \partial$ . We now define a *pair ordering*  $\prec$  on all (n - 1)-faces of a simplex  $\alpha = (a_0, a_1, \ldots, a_n)$ . Take two facets f and g of  $\alpha$  such that f < g. Define

$$f \setminus g = (f \cup g) \setminus (f \cap g) = \alpha \setminus (f \cap g).$$

Note that dim  $f \setminus g = 1$ . Consider the coefficient  $c_{fg}$  of  $f \setminus g$  in  $\partial^{(n-1)}\alpha$ .

# Pair Ordering

Set

$$f \prec g$$
 if  $c_{fg} = +1$   
 $g \prec f$  if  $c_{fg} = -1$ .

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# Pair Ordering

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#### Example

Take a = (1, 2, 3). Then the one faces have the lexographical ordering (12) < (13) < (23). By considering

$$\partial(1,2,3) = (23) - (13) + (12)$$

we obtain the pair ordering for all one faces as follows:

$$(12) \prec (13); (23) \prec (13); (13) \prec (23).$$

## Exchange Matrix

Consider a triangulated manifold K of dimension n. Let  $\mathcal{F}$  denote the set of (n-1)-faces of K.

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### Exchange Matrix

Consider a triangulated manifold K of dimension n. Let  $\mathcal{F}$  denote the set of (n-1)-faces of K. For every n-simplex  $\alpha$  in K we define the skew-symmetric matrix  $B^{\alpha}(K) = (b_{fg}^{\alpha})_{f,g\in\mathcal{F}}$  of size  $f_{n-1}(K)$  by setting

$$b^lpha_{fg} = egin{cases} +1, & ext{if } f \prec g; \ -1, & ext{if } g \prec f; \ 0, & ext{otherwise}, \end{cases}$$

for  $f, g \subset \alpha$ .

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for  $f, g \subset \alpha$ . Then we define

$$B(K) = \sum_{\alpha \in \mathcal{F}} B^{\alpha} K.$$

Note that B(K) is skew-symmetric with entries belonging to  $\{-1, 0, +1\}$ .

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### Matrix Mutations

We now restrict to the case of 2j = n, i.e. bisteller *n*-moves on a manifold of dimension 2n.

### Question

How does B(K) change into  $B(\operatorname{bm}_{\alpha} K)$ ? We only need to consider the local structure around  $\alpha$ .

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WLOG assume that  $\alpha = (1, \dots, j+1)$  and  $\beta = (j+2, \dots, 2j+2)$ . Set

$$F_i = (-1)^i (1, \ldots, j+1, j+2, \ldots, 2j+3 - i, \ldots, 2j+2),$$

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for i = 1, ..., j + 1.

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for  $i = 1, \ldots, j + 1$ . Note  $\alpha = \bigcap F_i$ . Let  $\Lambda(F) = \bigcup F_i$ .

### Matrix Mutations

Then

$$\operatorname{bm}_{\alpha}\Lambda(F)=\Lambda(H),$$

where

$$H_i=(-1)^i(1,\ldots,\hat{i},\ldots,j+1,\ldots,2j+2)$$

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#### Lemma

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For any n-face  $\gamma$  not in  $\Lambda(F)$  we have that  $b_{fg} = b_{fg}^{bm_{\alpha}}$ , for  $f, g \in \mathcal{F}(\gamma)$ . Furthermore,

$$\sum_{\in \mathcal{F}(\mathcal{K})\setminus \mathcal{F}} B^{\gamma}(\mathcal{K}) = \sum_{\gamma\in \mathcal{F}(bm_{\alpha}\mathcal{K})\setminus \mathcal{H}} B^{\gamma}(bm_{\alpha}\mathcal{K}).$$

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### Matrix Mutations

Let  $\sigma$  be a permutation on [2j+2] such that  $\sigma(\alpha) = \beta$ . Then  $\sigma$  induces a combinatorial equivalence between  $\Lambda(F)$  and  $\Lambda(H)$ .

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$$\sigma = \begin{pmatrix} 1 & \cdots & j+1 & j+2 & \cdots & 2j+2\\ 2j+2 & \cdots & j+2 & j+1 & \cdots & 1 \end{pmatrix}$$

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We define a matrix mutation  $\mu_{\sigma}(B) = B'$  with respect to  $\sigma$  by setting

$$b'_{fg} = egin{cases} b_{fg}, & ext{if } f,g \notin \Lambda(F); \ -b_{\sigma(f)\sigma(g)}, & ext{if } f,g \in \Lambda(F). \end{cases}$$

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### Proposition

$$\mu_{\sigma}(B(K)) = B(\mathsf{bm}_{\alpha}(K)).$$

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## Cluster Algebra

Suppose we have a triangulated manifold K. A bistellar cluster

$$\chi(K) = \{x_f \mid f \in \mathcal{F}(K)\}$$

is a set of abstract variables associated to K. Denote by  $\mathbb{Q}(\chi(K))$  the field of rational functions over  $\chi(K)$ .

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Associated to K we get a *bistellar seed*  $(\chi(K), B(K))$ , where B(K) is the exchange matrix associated to K.

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Associated to K we get a *bistellar seed*  $(\chi(K), B(K))$ , where B(K) is the exchange matrix associated to K.

We then form a cluster algebra  $\mathcal{A}(K)$  by performing *bistellar seed mutations* in all possible directions.

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### Cluster Algebra

The bistellar exchange relations are of the form

$$x_f x_{\sigma(f)} = \prod_{g \in \Lambda(F)} x_g^{[b_f g]_+} + \prod_{g \in \Lambda(F)} x_g^{[-b_{fg}]_+}.$$

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We then have that

### Theorem

The associated cluster algebra  $\mathcal{A}(K)$  to a PL-manifold is a PL-invariant.

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## Higher Dimensional Manifolds

• This theory also works for bistellar moves that are not half-dimensional.

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# Higher Dimensional Manifolds

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- One problem is that, these moves change the number of codimension 1 faces of *K*.

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- Our solution to these problems is to define an algebra similar to a cluster algebra with some differences.

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- One problem is that, these moves change the number of codimension 1 faces of *K*.
- Our solution to these problems is to define an algebra similar to a cluster algebra with some differences.
- We have defined generalised matrix mutations for performing bistellar moves on arbitrary dimensional faces.
- So now our goal is to fit this into some algebraic framework similar to cluster algebras to give (possibly complete) invariants of PL-manifolds.

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# Thank you!

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